



1 **Complications in surgically assisted rapid**
2 **maxillary expansion: a systematic review of the**
3 **medical literature.**
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Abstract

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Our aim was to perform a systematic open-access review of various complications reported for surgically assisted rapid maxillary expansion (SARME) procedures. There were 37 articles found in Pubmed using the search equation. Twelve articles were initially excluded according to the exclusion criteria. The 25 remaining articles were read in full for their descriptions of complications related to the SARME procedure in mature patients. The main reversible complications of SARME were infection, postoperative pain, and bleeding. There were also complications related to distractors, to secondary surgeries, and pterygomaxillary junction. The main non-reversible complications of SARME were associated with teeth, periodontal bone loss, and skull base fractures. Large field-of-view cone beam computed tomography (maxilla and skull base) should be implemented as initial planning tool to prevent many potential complications. The current trend for “minimally invasive” surgery in SARME might be, from an ethical point of view, transformed onto “minimally complicated” surgery as complication is still more harmful for any given patient than any potential perioperative surgical invasiveness.

Keywords: surgically-assisted, rapid, maxillary expansion, complications, palate

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Introduction

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Transverse maxillary deficiency is a dento-facial deformity clinically characterized by a unilateral or bilateral posterior crossbite [1], anterior dental crowding [1], excessive lingual inclination of the posterior teeth, a triangular dental arch, and a deep palate [2]. Transverse maxillary deficiency may occur as an isolated condition, resulting in functional implications, or associated with other aesthetical features, such as a narrow base of the nose, deep nasolabial folds, and hypoplasia of the zygomatic and paranasal area [2-5]. Transverse maxillary deficiency results in aesthetic and functional impairment, such as difficulty chewing, owing to unilateral or bilateral transverse discrepancy and dental clustering or ogival palate and nasal blockage, leading to buccal breathing and apnoea [5, 6]. Maxillary constriction together with a high palatal vault are two characteristics of "skeletal development syndrome" [5, 7]; other features of this syndrome include the following: (1) decreased nasal permeability resulting from nasal stenosis, (2) elevation of the nasal floor, (3) mouth breathing, (4) bilateral dental maxillary crossbite along with a high palatal vault, and (5) enlargement of the nasal turbinates, causing a decrease in the nasal airway size [5, 7].

An adequate transverse maxillary dimension is a critical component of stable and functional occlusion [5, 8]. Orthopaedic rapid palatal expansion is the procedure of choice to correct this condition in skeletally immature patients [5]. However, as skeletal maturity approaches, bony interdigitation increases as the sutures fuse [5, 9, 10]. This leads to difficulty separating the maxillae with orthopaedic forces alone, and bending of the alveolus, dental tipping, and minimal maxillary expansion can occur [5]. The result is relapse, despite overcorrection, pain, periodontal defects (a significant amount of gingival recession), periodontal ligament compression, and malocclusion [5]. Although most transverse maxillary deficiency can be resolved with orthodontics or segmental maxillary surgery, these approaches may not be successful in adults with select transverse problems [11]. Such problems include large transverse discrepancies (7 mm), narrow intercuspid dimensions, or maxillary arch length deficiency with crowding in postextraction cases [11].

Transverse maxillary deficiencies of more than 5 mm in the arc of a skeletally mature patient are a strong consideration for surgically assisted rapid maxillary expansion (SARME) [5]. A discrepancy of 5 mm is chosen because the orthodontist can camouflage discrepancies less than this size with orthopaedic forces alone [5]. If a discrepancy of more than 7 mm exists, SARME is definitely indicated [5]. In patients of mature skeletal age, SARME should also be considered whenever a narrow maxilla is associated with a wide mandible [5]. The technical difficulty involved in narrowing the mandible, and its potential negative effects on the condyles, make the maxillary procedure easier [5].

The recommended approach in such situations is surgically assisted palatal

82 expansion (SARPE) or surgically assisted maxillary expansion (SARME) [11].
83 Advantages of SARPE include improved periodontal health, improved nasal airflow
84 [5, 12-16], elimination of the negative space, which results in less visible tooth and
85 gum structure showing during smiling, a cosmetic improvement of the buccal
86 hollowing second to post-expansion prominence at the site of the lateral wall
87 osteotomy [5, 17], and bone apposition in the osteotomy site and reduced risk of
88 dental version or extrusion compared with regular orthopaedic care [5, 13].
89 Additionally, tooth extraction for alignment of the arches is often unnecessary [5].
90 Hearing levels were also significantly improved after SARME in patients with
91 conductive hearing loss [5, 7, 18-23].
92 Orthopaedic maxillary expansion (OME) was first described in 1860 by Angell in a
93 case report.[24-26]. Conventional OME before closure of the mid-palatal suture has
94 been reported to be highly successful in young patients, but this technique is not
95 indicated in skeletally mature individuals because suture closure and the completion
96 of transverse growth limit the range of maxillary expansion [27]. OME can produce
97 unwanted effects when used in a skeletally mature patient, including lateral tipping
98 of the posterior teeth [28-32], periodontal membrane compression, buccal root
99 resorption [26, 33-36], alveolar bone bending [29], fenestration of the buccal cortex
100 [37-39], palatal tissue necrosis [40], inability to open the midpalatal suture, pain, and
101 instability of the expansion. [26, 27, 29, 33]. Bell and Epker showed that attempting
102 OME with a palatal appliance in a skeletally mature patient may lead to pain and
103 necrosis of the palatal mucosa. [27, 41]. Timms and Moss [36] showed histologic
104 evidence of external root resorption and pulpal changes, including the laying down
105 of secondary dentin and pulp stones when performing OME [27, 33]. Mommaerts
106 outlined an age-based treatment strategy for patients with maxillary constriction and
107 stated that OME should be used to treat maxillary constriction in patients younger
108 than 12 years, whereas SARPE is indicated in patients older than 14 years to release
109 areas of bony resistance in the midface [26, 27, 31]. The general indications for
110 SARPE include skeletal maturity, (extreme) transverse maxillary hypoplasia,
111 unilateral or bilateral anterior crowding, the presence of buccal corridors (“black
112 corridors”) when smiling, and failure of OME [26, 27] The following have been
113 reported in the literature as indications for SARME, and all apply to a skeletally
114 mature patient with a constricted maxillary arch [26, 42, 43]:
115 1. To increase the maxillary arch perimeter, to correct posterior crossbite, and when
116 no additional surgical jaw movements are planned [26];
117 2. To widen the maxillary arch as a preliminary procedure, even if further
118 orthognathic surgery is planned. This is to avoid increased risks, inaccuracy, and
119 instability associated with segmental maxillary osteotomy [26];
120 3. To provide space for a crowded maxillary dentition when extractions are not
121 indicated [26];
122 4. To widen maxillary hypoplasia associated with clefts of the palate [26];
123 5. To reduce wide black buccal corridors when smiling [26];
124 6. To overcome the resistance of the sutures when OME has failed [26].
125 SARPE/SARME is a surgical technique developed to correct transverse

126 discrepancies in skeletally mature patients [44]. SARPE is generally indicated in
127 adults to overcome the resistance of ossified sutures as the patient transitions into
128 adulthood [44]. Under general anaesthesia, a Le Fort I osteotomy without down-
129 fracture of the maxilla is performed in conjunction with a midpalatal osteotomy and
130 palatal distractor setting [44]. For SARME, various surgical procedures, such as 1)
131 exclusive osteotomy in the midpalatal suture [45], 2) bilateral osteotomy from the
132 piriform rim to the pterygoid plate without palatal surgery [46], 3) subtotal Le Fort I
133 osteotomy combined with median palatine suture osteotomy [47], 4) total bilateral
134 maxillary osteotomy from the piriform rim to the pterygomaxillary fissure along
135 with midpalatal split and release of nasal septum and pterygoid plates, and 5) three-
136 piece SARME with complete mobilization [48], have been reported in the literature
137 [49]. The rationale behind the more extensive surgeries is to facilitate the expansion
138 of the maxillary skeleton, to minimize the expansion force on the anchor teeth and
139 consequent problems such as root resorption, tooth extrusion, and periodontal
140 diseases, and to reduce the chance of postsurgical relapse [26, 44, 50]. However, the
141 more invasive SARME techniques are likely associated with more complications
142 and morbidities [11, 27, 49].

143 Most methods consider the zygomaticomaxillary junction to be a major site of
144 resistance and recommend corticotomy through the zygomaticomaxillary buttress
145 from the piriform rim to the maxillopterygoid junction to release this resistance [27].
146 The mid-palatal suture has historically been considered the primary site of resistance
147 [27]. The pterygoid plates are also sites of considerable resistance, but because
148 osteotomy carries an increased risk of injuring the pterygoid plexus, some surgeons
149 choose not to address this resistance, without losing much mobility [27]. When the
150 pterygoid junction is not released, the opening of the maxillary halves is more
151 V-shaped, with the apex of the V pointing dorsally [27, 44, 50-53].

152 Reviews on SARPE/SARME complications that are available on PubMed are not
153 systematic and have no open access: Verquin et al., 2017 [1], Dergin et al., 2016
154 [27], Carneiro et al., 2013 [54], Williams et al., 2012 [11], Chrcanovic et al., 2009
155 [5], Suri et al., 2008 [26], and Lanigan et al., 2002 [33]. Our aim was to perform a
156 systematic, and open access review of various complications reported for the
157 SARME/SARPE procedure.

158 **Materials and methods**

159 We used only one database: PubMed, and one observer participated in the
160 selection of articles. The search equation was set as follows:
161 ("complications"[Subheading] OR "complications"[All Fields]) AND (("surgical
162 procedures, operative"[MeSH Terms] OR ("surgical"[All Fields] AND
163 "procedures"[All Fields] AND "operative"[All Fields]) OR "operative surgical
164 procedures"[All Fields] OR "surgical"[All Fields]) AND assisted[All Fields] AND
165 rapid[All Fields] AND ("palate"[MeSH Terms] OR "palate"[All Fields] OR
166 "palatal"[All Fields]) AND expansion[All Fields]) 09.02.2019.

167 The inclusion criteria were English, and French language articles. There was no

168 limit of publication date (articles starting from 1948). The exclusion criteria
169 consisted of languages other than those selected. We also excluded situations
170 involving syndromes, and cleft palate patients. Other non-SARME procedures to
171 expand the palate of mature patients, such as animal and experimental studies, were
172 excluded. Complications independent of the SARME procedure, such as
173 postoperative nausea and vomiting (because of general anaesthesia) [1], and
174 hospitalization due to iatrogenic gastric bleeding caused by NSAIDs [1] were
175 excluded.
176 There were 37 articles found using the search equation. Twelve articles were
177 initially excluded according to the exclusion criteria. The 25 remaining articles were
178 read in full for their descriptions of complications related to the SARME procedure
179 in mature patients.

180 **Results**

181 **Reversible complications**

182
183 Wound infections [1, 2, 11, 26, 27, 33, 53-56] were reported to occur 5 days to 4
184 months after surgery [11]. Infections were related to poor oral hygiene practice in
185 some patients [2]. The fermentation of food residues on the surgical incision before
186 it is fully healed can result in inflammation due to bacterial proliferation [2].
187 Williams et al., [11] reported rates of infection of 6.7% after surgical intervention
188 [11]. The infection affected the vestibular mucosa and was located in the posterior
189 range of the fornix, a site where food is compacted due to the natural movement of
190 the tongue during mastication [11]. Infection was also described in the interdental
191 osteotomy site (midline) [11]. Cultures showed *Klebsiella*, *Prevotella*,
192 *Staphylococcus* species and oral flora, and were treated with oral antibiotics [11].
193 Maxillary sinusitis appeared after SARME up to six weeks postoperatively [1, 11,
194 26, 27, 53, 55-57]. The most common cause was unrecognized preoperative chronic
195 sinusitis [58]. Fungal infection of the maxillary sinus after SARME is unusual [53].
196 The trauma associated with lateral nasal wall osteotomies may trigger fistula
197 formation from the inferior meatus to the maxillary sinus, thus modifying the flora
198 of the maxillary sinus by introducing a fungus [53].
199 Severe postoperative pain was reported in the majority of articles on SARME
200 complications [1, 2, 11, 26, 27, 33, 53,-55, 59]. Severe postoperative pain is likely
201 related to the extended loosening of bony sutures during surgery, leading to
202 increased intra-operative and postoperative oedema and therefore pain [1]. Pain
203 occurs on turning the expansion screw (during the distraction process) because of
204 inadequate surgical release of maxillary articulations to allow expansion [33]. Pain
205 and headache can persist up to 2 weeks after SARME [1, 27].
206 Bleeding/ haemorrhage is a frequent complication during and after the SARME

207 procedure [1, 2, 11, 26, 27, 33, 53, 54, 56, 59]. Peroperative bleeding from a sinus
208 artery was resolved by a Caldwell Luc approach and arterial ligation with sutures
209 [33].

210 Another source of bleeding after SARME is injury to the nasal mucosa, which is
211 primarily observed after mid-palatal suture separation and lateral nasal wall
212 osteotomy [27]. Bleeding complications were observed early or delayed
213 [1, 11, 27, 53, 55] postoperatively [27]. Early bleeding may have been caused by
214 severe postoperative inflammation and trauma to the nasal mucosa during mid-
215 palatal separation [27]. Because SARME is not a down-fracture procedure, nasal
216 bleeding can be easily controlled with nasal packing, even if delayed [11], and
217 should therefore be considered a minor complication if the patient does not suffer
218 from coagulopathy [27].

219 The cause of haemorrhage in SARME could be a traumatic osteotomy of the lateral
220 nasal wall [53]. During such an osteotomy, the osteotome should not be directed
221 medially or superiorly to prevent possible damage to the inferior turbinate and the
222 nasal mucoperiosteum [53]. Additionally, during SARME, the management of an
223 injury to the descending palatine artery can be more difficult than in maxilla down
224 fracture cases because it is not possible to view the vessel directly [53]. Moreover,
225 the risk of haemorrhage is reduced if the surgeon remains in the subperiosteal plane
226 when working laterally, thus not dissecting soft tissue [53].

227 The use of haemostatic measures such as electrocoagulation and tamponade with
228 absorbable haemostatic gelatin sponges decreases the amount of intraoperative
229 blood loss and postoperative bleeding in SARME [1].

230 Only one case of delayed life-threatening epistaxis after SARME was described
231 by Mehra et al., [1, 33, 53, 60]. Orbital compartment syndrome from a retrobulbar
232 haemorrhage, resulting in permanent blindness, was reported in a 34-year-old
233 woman who underwent SARME [1, 33, 53].

234 A case of massive middle cerebral artery (MCA) infarct secondary to internal
235 carotid artery dissection just superior to the bifurcation and M1 branch
236 thromboembolism following a SARME procedure was described [56]. In this case,
237 head and neck manipulation or spontaneous development may have caused an
238 intimal tear of the internal carotid artery, resulting in dissection and subsequent
239 thromboembolism affecting the entire right MCA territory [56].

240 Alterations in blood flow and injury to the branches of the maxillary nerve [26] have
241 been reported to cause tooth numbness [1], and paresthesia of the upper lips
242 [1], palatal gingiva [53], and infraorbital region [1, 27, 53, 56]. Bilateral
243 involvement was attributed to transient pressure exerted by postoperative oedema
244 [1]. Unilateral numbness is more likely to be related to intraoperative trauma due to
245 stretching and blunt injury with tissue retractors (Le Fort I hooks) [27, 53], and has a
246 worse prognosis [1]. However, over 80% of patients who had unilateral altered
247 sensitivity of the infraorbital nerve postoperatively experienced a full recovery
248 within 4 weeks of surgery [1], and the bilateral numbness resolved by 6 months after
249 surgery [53].

250 Mehra et al. also described a temporary partial paralysis of the oculomotor nerve
251 [55, 60]. Some cases of oedema [59], bruising [2], nasogenial sulcus haematoma:
252 [55, 56], and large, aesthetically disturbing cheek haematoma [1, 27] were reported
253 with spontaneous resolutions.

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Complications related to distractors

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Persistent pain when using a HAAS expander was due to over-compression of the
258 hemi-palatine vault on the acrylic stop plate, which prevents the hemi-maxilla from
259 tilting [2]. Apart from manufacturing causes, 2 factors can lead to excessive
260 compression of the hemi-palate on the acrylic stop plate—expansion performed
261 faster than the rate established in the expansion protocol, and insufficient surgical
262 release of bone structures [2]. Pain due to such compression was relieved after
263 extracting the expanding device and removing some material off the palatal surface
264 of the acrylic plate [2].

265

The Hyrax appliance had not been placed prior to surgery in one patient; therefore,
266 additional surgical time was required as the appliance had yet to be placed [1]. Two
267 patients had difficulties activating the device, resulting in a lag of expansion of 1
268 week after starting the activation [1].

269

Extrusion of the teeth attached to the appliance were also observed [26, 27].

270

Bone-borne distractors were associated with loosening of the distractor [6, 26, 54,
271 55], rupture [26, 54], detachment or locking of the distractor cylinder [26, 54, 55,
272 62-64], and stripping or locking of the screw [26, 54].

273

For all appliances, a palatal tissue irritation was reported to be caused by
274 impingement of the expansion appliance against palatal soft tissues [27, 33] which
275 may lead to aseptic pressure necrosis [11, 26, 27, 33, 54]. One patient developed
276 necrosis of the palatal tissue in the area of a palatal torus, which resolved with local
277 wound care [11].

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279

Reversible complications with a secondary surgery

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281

Asymmetrical distraction/expansion can be seen as a result of incomplete
282 osteotomies and missed lateral nasal wall osteotomies [1, 2, 11, 26, 27, 33, 43, 53-
283 56, 59]. The meticulous release of all areas of major resistance during the surgical
284 technique likely decreases the rate of asymmetrical and inadequate expansion [1,
285 27]. The wider the maxillary expansion performed, the more frequent the cases of
286 asymmetric expansion are [2]. Asymmetrical distraction may resolve without
287 additional treatment [11], or may need segmental maxillary surgery for correction
288 [11].

289

Posterior excess of distraction was also observed and was corrected by postoperative
290 orthodontic maxillary contraction before the second surgery [55].

291

292 A nasal septum deviation and flaring of the alar base [1, 26, 27] during distraction
293 required corrective rhinoplasty surgery [27, 55].

294 Wound dehiscence at the anterior maxilla one week after surgery was described
295 [53]. The wound was resutured, and no further problem was reported [53]. Palatal
296 fibromucosa perforation due to osteotome displacement was corrected by suture but
297 delayed the distraction for 4 to 5 days [53, 55]. Intraoperative mobility of the central
298 incisor was resolved spontaneously or a bonding was applied to adjacent teeth [1,
299 55, 59].

300 Relapses of expansions have also been reported [11, 26, 27, 33, 53, 54].

301

302 **Complications related to pterygomaxillary disjunction**

303

304 When performing Le Fort I osteotomy, the pterygoid plates may or may not be
305 separated from the maxilla [44]. This separation is termed pterygomaxillary
306 disjunction (PTMD) or pterygoid disjunction [44]. When performing the disjunction,
307 finger support is generally provided with the surgeon's non-dominant hand while the
308 osteotomy is performed with the dominant hand [44]. This disjunction is performed
309 primarily by the curved chisel technique, with particular attention paid to the
310 vasculature in the posterior maxilla [44]. The descending palatine canal and the
311 sphenopalatine fossa lie in that region, where several branches of the maxillary
312 artery pass [44]. The lack of consensus among surgeons about the necessity of
313 releasing pterygoid plates in SARME might be partly due to risk-benefit
314 considerations [49]. It has been shown that PTMD increases the rate of associated
315 morbidities and complications such as unpredicted fractures, bleeding, and tinnitus
316 [33, 49, 57, 65]. Untoward fracture of the pterygoid plates, the posterior wall of the
317 maxillary sinus, the skull base, and the orbit caused by PTMD have been well
318 documented in the literature [33, 49, 54, 66-68].

319 In the experiment conducted by Shetty et al., [39, 69] using the photoelastic analog,
320 failure to separate the pterygomaxillary junction resulted in forces radiating across
321 the pterygoid plates to deeper anatomic structures, including the body and greater
322 wing of the sphenoid bone [33]. A close anatomic relationship exists among the
323 greater and lesser wings of the sphenoid bone, the sphenoid sinuses, and the inferior
324 and superior orbital fissures [33]. If the sphenoid sinuses are large, they can extend
325 for a variable distance posteriorly into the body of the sphenoid bone, into the
326 pterygoid plates, and/or into the roots of the greater wing of the sphenoid [33]. The
327 sphenoid sinus is related laterally to the optic nerve as it traverses the optic foramen,
328 the cavernous sinus, and the internal carotid artery [33]. Therefore, sphenoid sinus
329 fractures have the potential to lead to tears in adjacent soft tissue structures, resulting
330 in carotid-cavernous sinus fistulae, injuries to the carotid artery, damage to the optic
331 nerve, or injuries to cranial nerve III, IV, or VI, leading to ophthalmoplegia [33].

332 Another reported complication of PTMD is intraoperative and postoperative
333 bleeding caused by injury to the terminal branches of the internal maxillary artery,
334 especially the posterior superior alveolar artery and the pterygoid plexus [49, 27,
335 33]. Newhouse et al., [70] reported a case of internal carotid artery rupture with

336 consequent life-threatening haemorrhage caused by fracture of the pterygoid process
337 at the base of the skull after PTMD [49]. The risk of bleeding increases when the
338 pterygoid plates are separated from the maxilla [27]. Betts et al., pointed out that
339 bilateral release of the pterygoid plates from the maxilla was as important as the
340 release of the palatal sutures to allow posterior maxillary expansion [4].
341 However, when the pterygoid plates are separated from the maxilla, the most
342 common sources of haemorrhage after SARME are the terminal branches of the
343 maxillary artery, particularly the posterosuperior alveolar artery and the pterygoid
344 venous plexus [27]. Turvey and Fonseca [71, 72] showed that the mean distance
345 from the most inferior part of the pterygomaxillary junction to the most inferior part
346 of the internal maxillary artery is 25 mm [27, 71]. Thus, during pterygomaxillary
347 separation, the pterygoid osteotomes must be correctly positioned, and anatomic
348 variance should be taken into account to avoid direct damage to vascular structures
349 [27]. Turvey and Fonseca [71] also recommended the use of an osteotome with an
350 approximate width of 10 mm in adult patients and noted that the descending palatine
351 artery is particularly vulnerable to damage when SARPE is performed with
352 pterygomaxillary separation or lateral nasal wall osteotomy [27, 71]. Damage to the
353 descending palatine artery can be minimized by limiting the extent of the osteotomy
354 posterior to the piriform rim to 35 mm in men and 30 mm in women [4, 27].

355

356

Irreversible complications

357

358 Discoloration of a central incisor adjacent to the interdental osteotomy was observed
359 [1]. Imaging showed a symmetrical midline osteotomy without separation of the
360 alveolar bone from the incisor root [1]. Failure to identify an unusual midline
361 osteotomy before appliance activation may result in an exacerbated periodontal
362 injury and, in severe cases, tooth loss [1]. Tooth discoloration after interdental
363 osteotomies has been found to be the result of direct intraoperative insult, transient
364 hypoperfusion [26], or a combination of both, with resulting pulpal haemorrhage,
365 death, and necrosis [1], and leakage of the pulpal degeneration products into the
366 adjacent dentine layer [1].

367 Hypoperfusion, primarily involving the central incisors, is pronounced after
368 SARME, reaching the nadir at postoperative day 3 and remaining at approximately
369 60% of normal at day 7 [11]. Appliance activation, which generally begun from
370 postoperative days 5 to 7, can further compromise revascularization of the injured
371 teeth [11]. Discoloration of one central incisor, adjacent to the interdental
372 osteotomy, mostly occurred within the first 8 weeks [11]. Preoperative and
373 postoperative imaging showed that the midline osteotomies were oblique and off
374 center, resulting in a separation of the bone from the root surface of the discolored
375 central incisor [11]. When symmetrical midline osteotomies were present, imaging
376 displayed converging central incisor roots or minimal interdental spaces [11].
377 SARME is also related to devitalization of teeth and altered pulpal blood flow [1,
378 11, 26, 27, 56], with one or both central incisors [2] or canines affected [2].

379 Some patients developed catastrophic midline bony defects with associated loss of
380 the central incisors [2, 11, 53]. The teeth and bone loss were secondary to eccentric
381 midline osteotomies, which caused separation of the bone from the root surface of
382 the central incisors followed by postoperative osteotomy site infections [11].
383 Apical root fracture of the central incisor during the SARME procedure was
384 reported [59]. It was associated with 1-month- delayed postoperative pain in the
385 upper central incisor. A root fragment was removed and orthodontic treatment was
386 applied [59]. Chisel torsion movements were the main reason for apical root fracture
387 [59].
388 Gingival recession mostly involved central incisors [1, 11] or teeth to which the
389 expansion appliance was anchored [11], and was observed within two months of
390 surgery [1, 2, 11, 26, 27, 55, 56, 62, 73, 74]. In several cases, gingival recession
391 developed after the appliance activation caused the gingiva to detach from the
392 mesial tooth surface [11].
393 Not disengaging areas of major resistance decreases the mobility of the maxillary
394 halves and leads to a greater risk of asymmetrical, inadequate, or unsuccessful
395 expansion and an increased risk of periodontal damage, since the distraction forces
396 are not evenly distributed.[1]. Periodontal pockets [59], and periodontal bone loss
397 [1, 2, 11, 26, 33, 53, 56] between the maxillary central incisors [11] were described.
398 Most osseous defects were mild (2 mm), involved a small amount of crestal bone,
399 and required no treatment [11]. However, 2 patients developed a catastrophic loss of
400 interdental bone and ultimately required removal of the central incisors [1, 11].
401 These patients developed gingival-tooth detachment, eccentric midline osteotomies
402 with separation of the alveolar bone from the root surface of a central incisor, and
403 postoperative interdental osteotomy site infections [11]. One of these patients also
404 had a discolored central incisor [11].
405 Such periodontal complications can occur for many reasons, including eccentric
406 bony fracture, osteotomy site infection, rapid appliance activation in conjunction
407 with inadequate expansion, or a combination of these factors. [11]
408 External apical root resorption [1, 11, 26, 27, 31, 55, 59, 75] of the upper central
409 incisors was observed after SARME procedures. However, it is unclear whether the
410 SARME procedure or the concurrent orthodontic treatment was the reason for these
411 results [1].
412 Three patients reported excessive lacrimation [1, 27, 55, 56]. Of those experiencing
413 excessive lacrimation, one patient showed unilateral effects for 1 day, and two
414 patients reported bilateral effects for 1 and 4 days. Complaints lasting less than 1
415 week were not counted as complications [27].
416 Some authors reported a case of tinnitus after SARME with PTMD [1, 27, 56].
417 The development of a nasopalatine canal cyst was also described after SARME [26,
418 53, 76].
419 Nerve lesions, such as a lesion of maxillary nerve branches [1, 55], and bilateral
420 lingual anaesthesia [26, 53] were reported.
421 Maintaining the vascular structures in the posterior maxilla is critical to prevent
422 surgical bleeding as well as to avoid post-surgical haemorrhage and/or avascular
423 maxillary necrosis [2, 11, 26, 49, 53, 56].

424 Finally, the most dangerous and irreversible complications were related to a fracture
 425 in the posterior aspect of the left maxillary sinus that extended to involve the left
 426 body of the sphenoid bone with fractures of the floor and roof on the left sphenoid
 427 sinus, resulting in the development of ptosis and ophthalmoplegia due to
 428 oculomotor, abducens and facial nerve palsies, which resolved 4 months after the
 429 initial maxillary expansion procedure [33, 54]. Some authors have also described a
 430 skull base fracture [1, 26, 27], including skull base fracture with orbital
 431 compartment syndrome, resulting in permanent blindness, following SARME [60].

432 Discussion

433 The results of this study emphasize that like any other surgical procedure,
 434 SARME is not free of risks and should be preceded by careful patient selection and
 435 planning [1]. As SARME complications can be life-threatening, surgery should be
 436 performed by experienced maxillofacial surgeons in hospitals rather than in private
 437 offices. A custom-made diagnosis and plan should be considered instead of
 438 standardized procedures. In particular, large field-of-view CBCT (maxilla and skull
 439 base) should be implemented as an initial planning tool to avoid many potential
 440 complications.

441 The role of preoperative CBCT in avoiding as many complications as possible
 442 should be the following:

- 443 - to discover any existing sinusitis or predisposing factors towards sinusitis,
- 444 - to check the anatomy of the inferior turbinate (hypertrophy),
- 445 - to visualize the descending artery canal and its distance from the pyriform rim,
- 446 - to visualize variation in the infra-orbital foramen position and number of foramina
 447 (infra-orbital nerve),
- 448 - to visualize variation in the anterior and superior maxillary nerve canal (canal
 449 sinuousum around the pyriform rim),
- 450 - to visualise pterygoid plate anatomy, the presence of the pterygoalar ligament,
 451 joining the lateral pterygoid plate and the sphenoid bone wing (risk of conduction of
 452 a non-controlled fracture towards the skull base during SARME),
- 453 - to visualise incisor and canine root anatomy and the existing free space between
 454 the central incisors and/or central and lateral incisors (midsagittal osteotomy),
- 455 - to visualise external root resorption for maxillary teeth (orthodontic treatment
 456 versus SARME procedure),
- 457 - to visualise the naso-palatine canal and any developing cyst,
- 458 - to visualise the nasolacrimal canal and its position in relation to the anterior
 459 maxillary osteotomy line,
- 460 - to visualise the sphenoid bone, the sphenoid sinus and its anatomical variations and
 461 the pneumatization extension of the sphenoid sinus (i.e., into pterygoid plates).

462 Moreover, postoperative care and patient instructions may prevent poor oral hygiene
 463 practice and the development of postoperative infection.
 464

465 New types of SARME distractors, custom-made distractors, or one-piece distractors
466 may be a better choice because they are easy and fast for surgeon to use.
467 Piezosurgery, rather than oscillating saw and/or osteotomes, and more accurate 3D
468 planned and printed surgical guides for osteotomy lines might prevent dental and
469 bleeding SARME complications.
470 Mechanical models (3D finite element models) may be developed in the future to
471 compare SARME procedures using different types of distractors and distraction
472 times, and to virtually verify 3D bone resistance areas, forces transmitted, and risks
473 of transmitted fractures. These models may be further adapted and customized to a
474 unique patient craniofacial skeleton.
475 No open-access studies on complications in SARPE or SARME surgery were found
476 in the literature. This shows the difficulty accessing verified information, especially
477 for private practice clinicians.
478 Finally, the current trend for “minimally invasive” surgery for these elective surgical
479 cases might be, from an ethical point of view, transformed onto “minimally
480 complicated” surgery as a complication is still more harmful for any given patient
481 than any potential perioperative surgical invasiveness.
482

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487

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488

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491

Authors contribution:

Author	Contributor role
Olszewski R	Conceptualisation, Data curation, Investigation, Methodology, Resources, Validation, Writing original draft preparation, Supervision, Writing review and editing
Wisniewski M	Conceptualisation, Validation, Writing original draft preparation, Writing review and editing

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